

## DECLARATION TO TRADE ON THE LEAP MARKET (BURSA MALAYSIA)

1. I/We hereby request Inter-Pacific Securities Sdn Bhd (“IPS”) to grant me/us access to trade in LEAP Market. I/We agree and undertake that I/we shall continue to be bound by IPS’s General Terms and Conditions and further declare that I/we qualify as a Sophisticated Investor under the definition provided in the Securities Commission Malaysia’s (SC) Guideline on Categories of Sophisticated Investors as follows:-

### A. High-Net Worth Individual

Tick (/)

Compulsory

An individual:

whose total net personal assets > RM3mil or its equivalent in foreign currencies, provided that the net value of the primary residence of the individual contribute not more than RM1mil of the total net assets;

whose total net joint assets with:

his or her spouse; or

his or her child,

exceeding RM3mil or its equivalent in foreign currencies, provided that the net value of the primary residence of the individual with his or her spouse or child contribute not more than RM1mil of the total net assets;

who has a gross annual income >RM300k or its equivalent in foreign currencies in the preceding twelve months;

who jointly with his or her spouse or child, has a gross annual income >RM400k or its equivalent in foreign currencies in the preceding twelve months;

whose total net personal investment portfolio or total net joint investment portfolio with his or her spouse or child, in any capital market products >RM1mil or its equivalent in foreign currencies, excluding any borrowings or other liabilities related to such investment;

who holds any of the following qualifications and has 5 consecutive years of relevant working experience in finance, economics, actuarial science or accounting:

holds a Bachelor’s or Master’s degree related to Finance, Economics or Actuarial Science;

holds a Bachelor’s or Master’s degree in Accounting; or

holds a Master of Business Administration;

who holds the following membership in the associations as set out below:

Active Member of Chartered Financial Analyst (CFA) Institute;

Chartered Banker of Asian Institute of Chartered Bankers (AICB);

Ordinary Member of Financial Markets Association Malaysia (FMAM);

Chartered Accountant, C.A (M) of Malaysian Institute of Accountants (MIA);

Ordinary Member of Malaysia Association of Tax Accountants (MATA);

Accredited Angel Investor of Malaysian Business Network (MBAN);

Certified Member of Financial Planning Association of Malaysia (FPAM); or

Ordinary Member of Malaysian Financial Planning Council (MFPC); or

who has 5 consecutive years of working experience in a capital market intermediary relating to product development, corporate finance, deal advisory, investment management, sales and trading, investment research and advisory, financial analysis, or the provision of training in investment products.

### B. High Net Worth Entity

A company that is registered as a trust company under the Trust Companies Act 1949 and has assets under its management > RM10mil or its equivalent in foreign currencies.

A corporation that:

is a public company under the Companies Act 2016 which is approved by the SC to be a trustee under the CMSA and has assets under its management, >RM10mil or its equivalent in foreign currencies; or

is carrying on the regulated activity of fund management solely for the benefit of its related corporations and has assets under its management >RM10mil or its equivalent in foreign currencies.

A corporation with total net assets > RM10mil or its equivalent in foreign currencies based on the last audited accounts.

A partnership with total net assets > RM10mil or its equivalent in foreign currencies.

- A statutory body established under any laws whose function or mandate is investment in capital market products.
- A pension fund approved by the Director General of Inland Revenue under the Income Tax Act 1967.

**C. Accredited Investor**

- (i) A unit trust scheme, private retirement scheme or prescribed investment scheme.
- (ii) Bank Negara
- (iii) A licensed person or a registered person.
- (iv) An exchange holding company, a stock exchange, a derivative exchange, an approved clearing house, a central depository or a recognized market operator.
- (v) A corporation that is licensed, registered or approved to carry on any regulated activity or capital market services by an authority in Labuan or outside Malaysia which exercises functions corresponding to the functions of the SC.
- (vi) A bank licensee or an insurance licensee as defined under the Labuan Financial Services and Securities Act 2010 [Act704].
- (vii) An Islamic bank licensee or a takaful licensee as defined under the Labuan Islamic Financial Services and Securities Act 2010 [Act 705].
- (viii) A chief executive officer or a director of any person referred to in subparagraphs (iii), (iv), (v), (vi), and (vii) above.
- (ix) A closed-end fund approved by the SC.

2. **I/We agree to provide relevant supporting documents for the purpose of verifying my status as a Sophisticated Investor.**
3. **I/We undertake to inform IPS in writing IMMEDIATELY of any changes resulting in me/us not fulfilling the requirement under the SC Guidelines on Categories of Sophisticated Investor, and of any changes in the declaration given herein.**
4. **I am / We are aware that pursuant to Rule 16.02 (2)(a) of the Rules of Bursa Malaysia Securities Berhad, if IPS receives information that I/we no longer qualify as a Sophisticated Investor, IPS may not allow me/us to further trade or undertake transactions on the LEAP Market except to sell my/our existing securities. In any event, I/we agree to indemnify and keep IPS indemnified in respect of any cost, expenses, fines, penalties, or any other losses, which it may suffer or incur in the event this Declaration is untrue or incorrect in anyway.**

**And I/we make the above solemn declaration conscientiously believing the same to be true.**

Signed by,

Witnessed by,

\_\_\_\_\_  
Signature of Client / Authorised Signatory(ies)  
(Company rubber-stamp to be affixed)

\_\_\_\_\_  
Signature of Dealer's Representative ("DR")

Name of Client / Authorised Signatory (ies):

Name of DR:

NRIC / Passport No. / Registration No.:

NRIC No.:

Date:

Date: